Chapter 9: Basic Cryptography

- Classical Cryptography
- Public Key Cryptography
- Cryptographic Checksums

Overview

- Classical Cryptography
	- Cæsar cipher
	- Vigènere cipher
	- DES
- Public Key Cryptography
	- Diffie-Hellman
	- RSA
- Cryptographic Checksums
	- HMAC

Cryptosystem

- Quintuple $(E, \mathcal{D}, \mathcal{M}, \mathcal{K}, C)$
	- *– M* set of plaintexts
	- *– K* set of keys
	- *– C* set of ciphertexts
	- *– E* set of encryption functions *e*: $M \times K \rightarrow C$
	- *– D* set of decryption functions *d*: *C* × *K* → *M*

Example

- Example: Cæsar cipher
	- *– M* = { sequences of letters }
	- *–* $K = \{ i | i \text{ is an integer and } 0 \le i \le 25 \}$

-
$$
\mathcal{I} = \{ E_k | k \in \mathcal{K} \text{ and for all letters } m, \}
$$

 $E_k(m) = (m + k) \text{ mod } 26$

− $D = \{ D_k | k \in \mathcal{K} \text{ and for all letters } c,$

 $D_k(c) = (26 + c - k) \mod 26$

$$
-C = \mathcal{M}
$$

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Attacks

- Opponent whose goal is to break cryptosystem is the *adversary*
	- Assume adversary knows algorithm used, but not key
- Three types of attacks:
	- *ciphertext only*: adversary has only ciphertext; goal is to find plaintext, possibly key
	- *known plaintext*: adversary has ciphertext, corresponding plaintext; goal is to find key
	- *chosen plaintext*: adversary may supply plaintexts and obtain corresponding ciphertext; goal is to find key

Basis for Attacks

- Mathematical attacks
	- Based on analysis of underlying mathematics
- Statistical attacks
	- Make assumptions about the distribution of letters, pairs of letters (digrams), triplets of letters (trigrams), *etc.*
		- Called *models of the language*
	- Examine ciphertext, correlate properties with the assumptions.

Classical Cryptography

- Sender, receiver share common key
	- Keys may be the same, or trivial to derive from one another
	- Sometimes called *symmetric cryptography*
- Two basic types
	- Transposition ciphers
	- Substitution ciphers
	- Combinations are called *product ciphers*

Transposition Cipher

- Rearrange letters in plaintext to produce ciphertext
- Example (Rail-Fence Cipher)
	- Plaintext is HELLO WORLD
	- Rearrange as

HLOOL

ELWRD

– Ciphertext is HLOOL ELWRD

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Attacking the Cipher

- Anagramming
	- If 1-gram frequencies match English frequencies, but other *n*-gram frequencies do not, probably transposition
	- Rearrange letters to form *n*-grams with highest frequencies

Example

- Ciphertext: HLOOLELWRD
- Frequencies of 2-grams beginning with H
	- HE 0.0305
	- HO 0.0043
	- $-$ HL, HW, HR, HD < 0.0010
- Frequencies of 2-grams ending in H
	- WH 0.0026
	- $-$ EH, LH, OH, RH, DH ≤ 0.0002
- Implies E follows H

Example

• Arrange so the H and E are adjacent

LL OW

HE

OR

LD

• Read off across, then down, to get original plaintext

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Substitution Ciphers

- Change characters in plaintext to produce ciphertext
- Example (Cæsar cipher)
	- Plaintext is HELLO WORLD
	- Change each letter to the third letter following it $(X \text{ goes to } A, Y \text{ to } B, Z \text{ to } C)$
		- Key is 3, usually written as letter 'D'
	- Ciphertext is KHOOR ZRUOG

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Attacking the Cipher

- Exhaustive search
	- If the key space is small enough, try all possible keys until you find the right one
	- Cæsar cipher has 26 possible keys
- Statistical analysis
	- Compare to 1-gram model of English

Statistical Attack

• Compute frequency of each letter in ciphertext:

> G 0.1 H 0.1 K 0.1 O 0.3 R 0.2 U 0.1 Z 0.1

- Apply 1-gram model of English
	- Frequency of characters (1-grams) in English is on next slide

Character Frequencies

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Statistical Analysis

- *f*(*c*) frequency of character *c* in ciphertext
- $φ(i)$ correlation of frequency of letters in ciphertext with corresponding letters in English, assuming key is *i*

$$
-\varphi(i) = \sum_{0 \le c \le 25} f(c)p(c - i) \text{ so here,}
$$

\n
$$
\varphi(i) = 0.1p(6 - i) + 0.1p(7 - i) + 0.1p(10 - i) + 0.3p(14 - i) + 0.2p(17 - i) + 0.1p(20 - i) + 0.1p(25 - i)
$$

• *p*(*x*) is frequency of character *x* in English

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Correlation: $\varphi(i)$ for $0 \le i \le 25$

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The Result

- Most probable keys, based on φ:
	- $i = 6$, $\varphi(i) = 0.0660$
		- plaintext EBIIL TLOLA
	- $i = 10$, $\varphi(i) = 0.0635$
		- plaintext AXEEH PHKEW
	- $i = 3$, $\varphi(i) = 0.0575$
		- plaintext HELLO WORLD
	- $i = 14$, $\varphi(i) = 0.0535$
		- plaintext WTAAD LDGAS
- Only English phrase is for $i = 3$
	- That's the key $(3 \text{ or } 'D')$

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Cæsar's Problem

- Key is too short
	- Can be found by exhaustive search
	- Statistical frequencies not concealed well
		- They look too much like regular English letters
- So make it longer
	- Multiple letters in key
	- Idea is to smooth the statistical frequencies to make cryptanalysis harder

Vigènere Cipher

- Like Cæsar cipher, but use a phrase
- Example
	- Message THE BOY HAS THE BALL
	- Key VIG
	- Encipher using Cæsar cipher for each letter:

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Relevant Parts of Tableau

- Tableau shown has relevant rows, columns only
- Example encipherments:
	- key V, letter T: follow V column down to T row (giving "O")
	- Key I, letter H: follow I column down to H row (giving "P")

Useful Terms

- *period*: length of key
	- In earlier example, period is 3
- *tableau*: table used to encipher and decipher
	- Vigènere cipher has key letters on top, plaintext letters on the left
- *polyalphabetic*: the key has several different letters
	- Cæsar cipher is monoalphabetic

Attacking the Cipher

- Approach
	- Establish period; call it *n*
	- Break message into *n* parts, each part being enciphered using the same key letter
	- Solve each part
		- You can leverage one part from another
- We will show each step

The Target Cipher

• We want to break this cipher: ADQYS MIUSB OXKKT MIBHK IZOOO EQOOG IFBAG KAUMF VVTAA CIDTW MOCIO EQOOG BMBFV ZGGWP CIEKQ HSNEW VECNE DLAAV RWKXS VNSVP HCEUT QOIOF MEGJS WTPCH AJMOC HIUIX

Establish Period

- Kaskski: *repetitions in the ciphertext occur when characters of the key appear over the same characters in the plaintext*
- Example:

key VIGVIGVIGVIGVIGV plain THEBOYHASTHEBALL cipher OPKWWECIYOPKWIRG Note the key and plaintext line up over the repetitions (underlined). As distance between repetitions is 9, the

period is a factor of 9 (that is, 1, 3, or 9)

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Repetitions in Example

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Estimate of Period

- OEQOOG is probably not a coincidence
	- It's too long for that
	- Period may be 1, 2, 3, 5, 6, 10, 15, or 30
- Most others (7/10) have 2 in their factors
- Almost as many (6/10) have 3 in their factors
- Begin with period of $2 \times 3 = 6$

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Check on Period

- Index of coincidence is probability that two randomly chosen letters from ciphertext will be the same
- Tabulated for different periods:
	- 1 0.066 3 0.047 5 0.044
	- 2 0.052 4 0.045 10 0.041

Large 0.038

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Compute IC

- IC = $[n (n 1)]^{-1} \sum_{0 \le i \le 25} [F_i (F_i 1)]$
	- where *n* is length of ciphertext and F_i the number of times character *i* occurs in ciphertext
- Here, $IC = 0.043$
	- Indicates a key of slightly more than 5
	- A statistical measure, so it can be in error, but it agrees with the previous estimate (which was 6)

Splitting Into Alphabets

alphabet 1: AIKHOIATTOBGEEERNEOSAI alphabet 2: DUKKEFUAWEMGKWDWSUFWJU alphabet 3: QSTIQBMAMQBWQVLKVTMTMI alphabet 4: YBMZOAFCOOFPHEAXPQEPOX alphabet 5: SOIOOGVICOVCSVASHOGCC alphabet 6: MXBOGKVDIGZINNVVCIJHH

• ICs $(\#1, 0.069; \#2, 0.078; \#3, 0.078; \#4, 0.056;$ #5, 0.124; #6, 0.043) indicate all alphabets have period 1, except #4 and #6; assume statistics off

Frequency Examination

ABCDEFGHIJKLMNOPQRSTUVWXYZ

- 1 31004011301001300112000000
- 2 10022210013010000010404000
- 3 12000000201140004013021000
- 4 21102201000010431000000211
- 5 10500021200000500030020000
- 6 01110022311012100000030101

Letter frequencies are (H high, M medium, L low):

HMMMHMMHHMMMMHHMLHHHMLLLLL

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Begin Decryption

- First matches characteristics of unshifted alphabet
- Third matches if I shifted to A
- Sixth matches if V shifted to A
- Substitute into ciphertext (bold are substitutions)

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Look For Clues

- **A**J**E** in last line suggests "are" , meaning second alphabet maps A into S:
	- **ALI**YS **RICK**B O**CKSL** MI**GHS A**ZO**TO**
	- **MI**OO**L INT**AG **PACE**F V**ATIS** CI**ITE**
	- **E**OC**NO MI**OO**L BUT**FV **EGOO**P C**NESI**
	- HS**SEE N**EC**SE LD**AA**A REC**XS **ANAN**P
	- H**HECL** QO**NON E**EG**OS EL**PC**M ARE**OC

MICAX

Next Alphabet

• **MICAX** in last line suggests "mical" (a common ending for an adjective), meaning fourth alphabet maps O into A:

Got It!

• QI means that U maps into I, as Q is always followed by U:

One-Time Pad

- A Vigenère cipher with a random key at least as long as the message
	- Provably unbreakable
	- Why? Look at ciphertext DXQR. Equally likely to correspond to plaintext DOIT (key AJIY) and to plaintext DONT (key AJDY) and any other 4 letters
	- Warning: keys *must* be random, or you can attack the cipher by trying to regenerate the key
		- Approximations, such as using pseudorandom number generators to generate keys, are *not* random

Overview of the DES

- A block cipher:
	- encrypts blocks of 64 bits using a 64 bit key
	- outputs 64 bits of ciphertext
- A product cipher
	- basic unit is the bit
	- performs both substitution and transposition (permutation) on the bits
- Cipher consists of 16 rounds (iterations) each with a round key generated from the usersupplied key

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Generation of Round Keys

• Round keys are 48

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Encipherment

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The *f* Function

Controversy

- Considered too weak
	- Diffie, Hellman said in a few years technology would allow DES to be broken in days
		- Design using 1999 technology published
	- Design decisions not public
		- S-boxes may have backdoors

Undesirable Properties

- 4 weak keys
	- They are their own inverses
- 12 semi-weak keys
	- Each has another semi-weak key as inverse
- Complementation property
	- $\text{DES}_k(m) = c \Rightarrow \text{DES}_k(m') = c'$
- S-boxes exhibit irregular properties
	- Distribution of odd, even numbers non-random
	- Outputs of fourth box depends on input to third box

Differential Cryptanalysis

- A chosen ciphertext attack
	- $-$ Requires 2^{47} plaintext, ciphertext pairs
- Revealed several properties
	- Small changes in S-boxes reduce the number of pairs needed
	- Making every bit of the round keys independent does not impede attack
- Linear cryptanalysis improves result
	- $-$ Requires 2^{43} plaintext, ciphertext pairs

DES Modes

- Electronic Code Book Mode (ECB)
	- Encipher each block independently
- Cipher Block Chaining Mode (CBC)
	- Xor each block with previous ciphertext block
	- Requires an initialization vector for the first one
- Encrypt-Decrypt-Encrypt Mode (2 keys: *k*, *k*′)

 $-c = \text{DES}_k(\text{DES}_k^{-1}(\text{DES}_k(m)))$

• Encrypt-Encrypt-Encrypt Mode (3 keys: *k*, *k*′ , *k*′′) $-c = \text{DES}_{k}(\text{DES}_{k'}(\text{DES}_{k'}(m)))$

CBC Mode Encryption

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CBC Mode Decryption

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Self-Healing Property

- Initial message
	- 3231343336353837 3231343336353837 3231343336353837 3231343336353837
- Received as (underlined 4c should be 4b)
	- ef7c4cb2b4ce6f3b f6266e3a97af0e2c 746ab9a6308f4256 33e60b451b09603d
- Which decrypts to
	- efca61e19f4836f1 3231333336353837 3231343336353837 3231343336353837
	- Incorrect bytes underlined
	- Plaintext "heals" after 2 blocks

Current Status of DES

- Design for computer system, associated software that could break any DES-enciphered message in a few days published in 1998
- Several challenges to break DES messages solved using distributed computing
- NIST selected Rijndael as Advanced Encryption Standard, successor to DES
	- Designed to withstand attacks that were successful on DES

Public Key Cryptography

- Two keys
	- *Private key* known only to individual
	- *Public key* available to anyone
		- Public key, private key inverses
- Idea
	- Confidentiality: encipher using public key, decipher using private key
	- Integrity/authentication: encipher using private key, decipher using public one

Requirements

- 1. It must be computationally easy to encipher or decipher a message given the appropriate key
- 2. It must be computationally infeasible to derive the private key from the public key
- 3. It must be computationally infeasible to determine the private key from a chosen plaintext attack

Diffie-Hellman

- Compute a common, shared key
	- Called a *symmetric key exchange protocol*
- Based on discrete logarithm problem
	- Given integers *n* and *g* and prime number *p*, compute *k* such that $n = g^k \text{ mod } p$
	- Solutions known for small *p*
	- Solutions computationally infeasible as *p* grows large

Algorithm

- Constants: prime *p*, integer $g \neq 0, 1, p-1$
	- Known to all participants
- Anne chooses private key *kAnne*, computes public key *KAnne* = g^{kAnne} mod *p*
- To communicate with Bob, Anne computes *Kshared* = $KBob^{kAnne} \text{mod } p$
- To communicate with Anne, Bob computes *Kshared* = *KAnnekBob* mod *p*
	- It can be shown these keys are equal

Example

- Assume $p = 53$ and $g = 17$
- Alice chooses *kAlice* = 5
	- $-$ Then *KAlice* = 17⁵ mod 53 = 40
- Bob chooses $kBob = 7$
	- $-$ Then *KBob* = 17⁷ mod 53 = 6
- Shared key:
	- $-KBob^{kAlice} \text{ mod } p = 6^5 \text{ mod } 53 = 38$
	- $-KAlice^{kBob} \text{ mod } p = 40^7 \text{ mod } 53 = 38$

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RSA

- Exponentiation cipher
- Relies on the difficulty of determining the number of numbers relatively prime to a large integer *n*

Background

- Totient function $\phi(n)$
	- Number of positive integers less than *n* and relatively prime to *n*
		- *Relatively prime* means with no factors in common with *n*
- Example: $\phi(10) = 4$
	- 1, 3, 7, 9 are relatively prime to 10
- Example: $\phi(21) = 12$
	- 1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20 are relatively prime to 21

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Algorithm

- Choose two large prime numbers *p, q*
	- $-$ Let $n = pq$; then $\phi(n) = (p-1)(q-1)$
	- Choose *e* < *n* such that *e* is relatively prime to φ(*n*).
	- Compute *d* such that *ed* mod φ(*n*) = 1
- Public key: (*e*, *n*); private key: *d*
- Encipher: $c = m^e \mod n$
- Decipher: $m = c^d \mod n$

Example: Confidentiality

- Take $p = 7$, $q = 11$, so $n = 77$ and $φ(n) = 60$
- Alice chooses $e = 17$, making $d = 53$
- Bob wants to send Alice secret message HELLO (07 04 11 11 14)
	- -07^{17} mod $77 = 28$
	- -04^{17} mod $77 = 16$
	- -11^{17} mod $77 = 44$
	- -11^{17} mod $77 = 44$
	- -14^{17} mod $77 = 42$
- Bob sends 28 16 44 44 42

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Example

- Alice receives 28 16 44 44 42
- Alice uses private key, $d = 53$, to decrypt message:
	- -28^{53} mod $77=07$
	- -16^{53} mod $77 = 04$
	- -44^{53} mod $77 = 11$
	- -44^{53} mod $77 = 11$
	- -42^{53} mod $77 = 14$
- Alice translates message to letters to read HELLO
	- No one else could read it, as only Alice knows her private key and that is needed for decryption

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Example: Integrity/Authentication

- $\text{Take } p = 7, q = 11, \text{ so } n = 77 \text{ and } \phi(n) = 60$
- Alice chooses $e = 17$, making $d = 53$
- Alice wants to send Bob message HELLO (07 04 11 11) 14) so Bob knows it is what Alice sent (no changes in transit, and authenticated)
	- -07^{53} mod $77 = 35$
	- $-04^{53} \text{ mod } 77 = 09$
	- -11^{53} mod $77 = 44$
	- -11^{53} mod $77 = 44$
	- -14^{53} mod $77 = 49$
- Alice sends 35 09 44 44 49

Example

- Bob receives 35 09 44 44 49
- Bob uses Alice's public key, $e = 17$, $n = 77$, to decrypt message:
	- -35^{17} mod $77=07$
	- -09^{17} mod $77 = 04$
	- $-44^{17} \text{ mod } 77 = 11$
	- -44^{17} mod $77 = 11$
	- -49^{17} mod $77 = 14$
- Bob translates message to letters to read HELLO
	- Alice sent it as only she knows her private key, so no one else could have enciphered it
	- If (enciphered) message's blocks (letters) altered in transit, would not decrypt properly

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Example: Both

- Alice wants to send Bob message HELLO both enciphered and authenticated (integrity-checked)
	- Alice's keys: public (17, 77); private: 53
	- Bob's keys: public: (37, 77); private: 13
- Alice enciphers HELLO (07 04 11 11 14):
	- $-$ (07⁵³ mod 77)³⁷ mod 77 = 07
	- $-$ (04⁵³ mod 77)³⁷ mod 77 = 37
	- $(11^{53} \text{ mod } 77)^{37} \text{ mod } 77 = 44$
	- $(11^{53} \text{ mod } 77)^{37} \text{ mod } 77 = 44$
	- $(14^{53} \text{ mod } 77)^{37} \text{ mod } 77 = 14$
- Alice sends 07 37 44 44 14

Security Services

- Confidentiality
	- Only the owner of the private key knows it, so text enciphered with public key cannot be read by anyone except the owner of the private key
- Authentication
	- Only the owner of the private key knows it, so text enciphered with private key must have been generated by the owner

More Security Services

- Integrity
	- Enciphered letters cannot be changed undetectably without knowing private key
- Non-Repudiation
	- Message enciphered with private key came from someone who knew it

Warnings

- Encipher message in blocks considerably larger than the examples here
	- If 1 character per block, RSA can be broken using statistical attacks (just like classical cryptosystems)
	- Attacker cannot alter letters, but can rearrange them and alter message meaning
		- Example: reverse enciphered message of text ON to get NO

Cryptographic Checksums

- Mathematical function to generate a set of *k* bits from a set of *n* bits (where $k \le n$).
	- *k* is smaller then *n* except in unusual circumstances
- Example: ASCII parity bit
	- ASCII has 7 bits; 8th bit is "parity"
	- Even parity: even number of 1 bits
	- Odd parity: odd number of 1 bits

Example Use

- Bob receives "10111101" as bits.
	- Sender is using even parity; 6 1 bits, so character was received correctly
		- Note: could be garbled, but 2 bits would need to have been changed to preserve parity
	- Sender is using odd parity; even number of 1 bits, so character was not received correctly

Definition

- Cryptographic checksum *h*: *A*→*B*:
	- 1. For any $x \in A$, $h(x)$ is easy to compute
	- 2. For any $y \in B$, it is computationally infeasible to find $x \in A$ such that $h(x) = y$
	- 3. It is computationally infeasible to find two inputs $x, x' \in A$ such that $x \neq x'$ and $h(x) = h(x')$
		- Alternate form (stronger): Given any $x \in A$, it is computationally infeasible to find a different $x' \in A$ such that $h(x) = h(x')$.

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Collisions

- If $x \neq x'$ and $h(x) = h(x')$, x and x' are a *collision*
	- Pigeonhole principle: if there are *n* containers for *n*+1 objects, then at least one container will have 2 objects in it.
	- Application: if there are 32 files and 8 possible cryptographic checksum values, at least one value corresponds to at least 4 files

Keys

- Keyed cryptographic checksum: requires cryptographic key
	- DES in chaining mode: encipher message, use last *n* bits. Requires a key to encipher, so it is a keyed cryptographic checksum.
- Keyless cryptographic checksum: requires no cryptographic key
	- MD5 and SHA-1 are best known; others include MD4, HAVAL, and Snefru

HMAC

- Make keyed cryptographic checksums from keyless cryptographic checksums
- *h* keyless cryptographic checksum function that takes data in blocks of *b* bytes and outputs blocks of *l* bytes. *k*′ is cryptographic key of length *b* bytes

– If short, pad with 0 bytes; if long, hash to length *b*

- *ipad* is 00110110 repeated *b* times
- *opad* is 01011100 repeated *b* times
- HMAC- $h(k, m) = h(k' \oplus opad \parallel h(k' \oplus ipad \parallel m))$
	- \oplus exclusive or, Il concatenation

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Key Points

- Two main types of cryptosystems: classical and public key
- Classical cryptosystems encipher and decipher using the same key
	- Or one key is easily derived from the other
- Public key cryptosystems encipher and decipher using different keys
	- Computationally infeasible to derive one from the other
- Cryptographic checksums provide a check on integrity

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