## Chapter 30: Lattices

- Overview
- Definitions
- Lattices
- Examples

#### Overview

- Lattices used to analyze Bell-LaPadula,
  Biba constructions
- Consists of a set and a relation
- Relation must partially order set
  - Partial ordering < orders some, but not all, elements of set

#### Sets and Relations

- S set, R:  $S \times S$  relation
  - If  $a, b \in S$ , and  $(a, b) \in R$ , write aRb
- Example
  - $-I = \{1, 2, 3\}; R \text{ is } \leq$
  - $-R = \{ (1, 1), (1, 2), (1, 3), (2, 2), (2, 3), (3, 3) \}$
  - So we write  $1 \le 2$  and  $3 \le 3$  but not  $3 \le 2$

## Relation Properties

#### Reflexive

- For all  $a \in S$ , aRa
- On I,  $\leq$  is reflexive as  $1 \leq 1$ ,  $2 \leq 2$ ,  $3 \leq 3$
- Antisymmetric
  - For all  $a, b \in S$ ,  $aRb \land bRa \Rightarrow a = b$
  - On I, ≤ is antisymmetric
- Transitive
  - For all  $a, b, c \in S$ ,  $aRb \land bRc \Rightarrow aRc$
  - On I, ≤ is transitive as  $1 \le 2$  and  $2 \le 3$  means  $1 \le 3$

# Bigger Example

- C set of complex numbers
- $a \in C \Rightarrow a = a_R + a_I i$ ,  $a_R$ ,  $a_I$  integers
- $a \leq_C b$  if, and only if,  $a_R \leq b_R$  and  $a_I \leq b_I$
- $a \leq_C b$  is reflexive, antisymmetric, transitive
  - As ≤ is over integers, and  $a_R$ ,  $a_I$  are integers

# Partial Ordering

- Relation R orders some members of set S
  - If all ordered, it's total ordering
- Example
  - ≤ on integers is total ordering
  - $≤_C$  is partial ordering on C (because neither  $3+5i ≤_C 4+2i$  nor  $4+2i ≤_C 3+5i$  holds)

## Upper Bounds

- For  $a, b \in S$ , if u in S with aRu, bRu exists, then u is upper bound
  - Least upper if there is no  $t \in S$  such that aRt, bRt, and tRu
- Example
  - For 1 + 5i,  $2 + 4i \in C$ , upper bounds include 2 + 5i, 3 + 8i, and 9 + 100i
  - Least upper bound of those is 2 + 5i

#### Lower Bounds

- For  $a, b \in S$ , if l in S with lRa, lRb exists, then l is lower bound
  - Greatest lower if there is no  $t \in S$  such that tRa, tRb, and lRt
- Example
  - For 1 + 5*i*, 2 + 4*i* ∈ *C*, lower bounds include 0, -1 + 2i, 1 + 1*i*, and 1+4*i*
  - Greatest lower bound of those is 1 + 4i

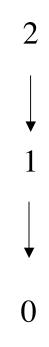
#### Lattices

- Set S, relation R
  - R is reflexive, antisymmetric, transitive on elements of S
  - For every  $s, t \in S$ , there exists a greatest lower bound under R
  - For every  $s, t \in S$ , there exists a least upper bound under R

# Example

- $S = \{ 0, 1, 2 \}; R = \le \text{ is a lattice}$ 
  - R is clearly reflexive, antisymmetric, transitive on elements of S
  - Least upper bound of any two elements of S is the greater
  - Greatest lower bound of any two elements of
    S is the lesser

## Picture

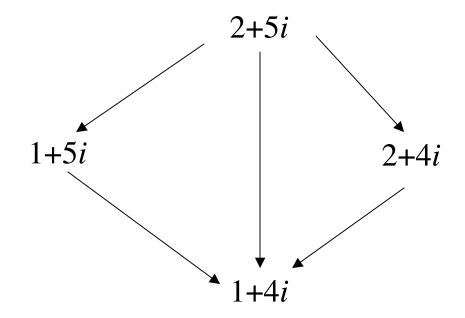


Arrows represent ≤; total ordering

# Example

- C,  $\leq_C$  form a lattice
  - $\le_C$  is reflexive, antisymmetric, and transitive
    - Shown earlier
  - Least upper bound for a and b:
    - $c_R = \max(a_R, b_R), c_I = \max(a_I, b_I)$ ; then  $c = c_R + c_I i$
  - Greatest lower bound for a and b:
    - $c_R = \min(a_R, b_R), c_I = \min(a_I, b_I)$ ; then  $c = c_R + c_I i$

## Picture



Arrows represent  $\leq_C$